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6 UNITED STATES DISTRICT COURT
7 WESTERN DISTRICT OF WASHINGTON
8 AT TACOMA

9 KATHLEEN S.,

10 Plaintiff,

11 v.

12 COMMISSIONER OF SOCIAL
13 SECURITY,

14 Defendant.

Case No. C19-5167 RSL

ORDER REVERSING AND
REMANDING DENIAL OF
BENEFITS

15 Plaintiff Kathleen S. appeals the final decision of the Commissioner of the Social
16 Security Administration (“Commissioner”), which denied her application for disability
17 insurance benefits under Title II of the Social Security Act, (the “Act”), 42 U.S.C. §§401-
18 33, after a hearing before an administrative law judge (“ALJ”). For the reasons set forth
19 below, the Commissioner’s decision is REVERSED and REMANDED for further
20 administrative proceedings under 42 U.S.C. §405(g).

21 I. FACTS AND PROCEDURAL HISTORY

22 Plaintiff is a 42-year-old woman with a high school education. See Admin.
23 Record (“AR”) at 69. Plaintiff applied for benefits, alleging disability as of May 2, 2017.
Id. at 112. Her claims were denied on initial administrative review and on

1 reconsideration. Id. at 112-33. On September 6, 2018, ALJ Malcolm Ross held a
2 hearing, at which Plaintiff and a vocational expert testified. Id. at 64-110.

3 On December 4, 2018, ALJ Ross issued a decision denying Plaintiff's claim for
4 benefits. Id. at 16-27. The Appeals Council denied review. Id. at 2-4. Plaintiff then
5 sought review before this Court. Compl. (Dkt. #3).

6 II. STANDARD OF REVIEW

7 Pursuant to 42 U.S.C. §405(g), the Court may set aside the Commissioner's denial
8 of social security benefits when the ALJ's findings are based on legal error or not
9 supported by substantial evidence in the record as a whole. Bayliss v. Barnhart, 427 F.3d
10 1211, 1214 (9th Cir. 2005). "Substantial evidence" is more than a scintilla, less than a
11 preponderance, and is such relevant evidence as a reasonable mind might accept as
12 adequate to support a conclusion. Richardson v. Perales, 402 U.S. 389, 401 (1971);
13 Magallanes v. Bowen, 881 F.2d 747, 750 (9th Cir. 1989). The ALJ is responsible for
14 determining credibility, resolving conflicts in medical testimony, and resolving any other
15 ambiguities that might exist. Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir. 1995).
16 While the Court is required to examine the record as a whole, it may neither reweigh the
17 evidence nor substitute its judgment for that of the Commissioner. Thomas v. Barnhart,
18 278 F.3d 947, 954 (9th Cir. 2002). When the evidence is susceptible to more than one
19 rational interpretation, it is the Commissioner's conclusion that must be upheld. Id.
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22 III. EVALUATING DISABILITY

23 Plaintiff bears the burden of proving that she is disabled within the meaning of the

1 Act. Meanel v. Apfel, 172 F.3d 1111, 1113 (9th Cir. 1999). The Act defines disability as
2 the “inability to engage in any substantial gainful activity” due to a physical or mental
3 impairment that has lasted, or is expected to last, for a continuous period of not less than
4 12 months. 42 U.S.C. §423(d)(1)(A). A claimant is disabled under the Act only if her
5 impairments are of such severity that she is unable to do her previous work, and cannot,
6 considering her age, education, and work experience, engage in any other substantial
7 gainful activity existing in the national economy. 42 U.S.C. §423(d)(2)(A); see also
8 Tackett v. Apfel, 180 F.3d 1094, 1098-99 (9th Cir. 1999).

9
10 The Commissioner has established a five-step sequential evaluation process for
11 determining whether a claimant is disabled within the meaning of the Act. See 20 C.F.R.
12 §404.1520. The claimant bears the burden of proof during steps one through four.
13 Valentine v. Comm’r of Soc. Sec. Admin., 574 F.3d 685, 689 (9th Cir. 2009). At step
14 five, the burden shifts to the Commissioner. Id. If a claimant is found to be disabled at
15 any step in the sequence, the inquiry ends without the need to consider subsequent steps.
16 Step one asks whether the claimant is presently engaged in “substantial gainful activity.”
17 20 C.F.R. §404.1520(b).¹ If she is, disability benefits are denied. If she is not, the
18 Commissioner proceeds to step two. Id. At step two, the claimant must establish that she
19 has one or more medically severe impairments, or combination of impairments, that limit
20 her physical or mental ability to do basic work activities. 20 C.F.R. §404.1520(c). If the
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23 ¹ Substantial gainful activity is work activity that is both substantial, *i.e.*, involves
significant physical and/or mental activities, and gainful, *i.e.*, performed for profit. 20 C.F.R.
§404.1572.

1 claimant does not have such impairments, she is not disabled. Id. If the claimant does
2 have a severe impairment, the Commissioner moves to step three to determine whether
3 the impairment meets or equals any of the listed impairments described in the regulations.
4 20 C.F.R. §404.1520(d). A claimant whose impairment meets or equals one of the
5 listings for the required 12-month duration is disabled. Id.

6 When the claimant's impairment neither meets nor equals one of the impairments
7 listed in the regulations, the Commissioner must proceed to step four and evaluate the
8 claimant's residual functional capacity ("RFC"). 20 C.F.R. §404.1520(e). Here, the
9 Commissioner evaluates the physical and mental demands of the claimant's past relevant
10 work to determine whether she can still perform that work. 20 C.F.R. §404.1520(f). If
11 the claimant is able to perform her past relevant work, she is not disabled; if the opposite
12 is true, then the burden shifts to the Commissioner at step five to show that the claimant
13 can perform other work that exists in significant numbers in the national economy, taking
14 into consideration the claimant's RFC, age, education, and work experience. 20 C.F.R.
15 §404.1520(g); Tackett, 180 F.3d at 1099-100. If the Commissioner finds the claimant is
16 unable to perform other work, then the claimant is found disabled and benefits may be
17 awarded. 20 C.F.R. §404.1520(g).
18

19 IV. DECISION BELOW

20 On December 4, 2018, ALJ Ross issued a decision finding the following:

- 21 1. The claimant has not engaged in substantial gainful activity since May 2,
22 2017, the alleged onset date. See 20 C.F.R. §§404.1571-76.
- 23 2. The claimant has the following severe impairments: Complex regional pain

1 syndrome I (“CRPS”) of the bilateral lower limbs, plantar fasciitis, right
2 first metatarsophalangeal joint hallux rigidus, posttraumatic stress disorder
3 (“PTSD”), chronic adjustment disorder with anxious and depressed mood,
and migraine headaches. See 20 C.F.R. §404.1520(c).

4 3. The claimant does not have an impairment or combination of impairments
5 that meets or medically equals the severity of one of the listed impairments
6 in 20 C.F.R. Part 404, Subpart P, Appendix 1. See 20 C.F.R.
§§404.1520(d), 404.1525, 404.1526.

7 4. The claimant has the RFC to perform light work as defined in 20 C.F.R.
8 §404.1567(b), with exceptions. She can frequently climb, balance, stoop,
9 kneel, crouch, and crawl. She can frequently handle and finger bilaterally.
10 She can withstand frequent exposure to extreme cold, vibrations, and
hazards such as heights and machinery. She must avoid exposure to noise
levels in excess of level three. She can perform simple and complex tasks,
and can have occasional, superficial interaction with the public, coworkers,
and supervisors.

11 5. The claimant is unable to perform any past relevant work. See 20 C.F.R.
12 §404.1565.

13 6. Considering the claimant’s age, education, work experience, and RFC,
14 there are jobs that exist in significant numbers in the national economy that
the claimant can perform. See 20 C.F.R. §§404.1569, 404.1569(a).

15 7. The claimant has not been under a disability, as defined in the Act, from the
16 alleged disability onset date through the date of the ALJ’s decision. See 20
C.F.R. §404.1520(g).

17 AR at 16-27.

18 V. ISSUES ON APPEAL

19 A. Whether the ALJ reasonably evaluated Plaintiff’s subjective symptom
20 testimony.

21 B. Whether the ALJ reasonably evaluated the medical evidence.

22 C. Whether the ALJ reasonably evaluated Plaintiff’s husband’s statements.
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1 Pl. Op. Br. (Dkt. #9) at 1. Plaintiff argues that, if the Court finds error, it should remand
2 this matter for an award of benefits. Id. at 13-14.

3 VI. DISCUSSION

4 A. The ALJ Partially Erred in Discounting Plaintiff's Symptom Testimony

5 Plaintiff argues that ALJ Ross erred in discounting Plaintiff's symptom testimony.
6 Id. at 7-12. The Court agrees in part.

7 Plaintiff testified that she can only sit for one to two hours at a time before her feet
8 become painful. AR at 94-95, 295. Plaintiff testified that she has to elevate her feet
9 above her heart for one to two hours at a time multiple times a day. Id. Plaintiff testified
10 that she can stand for ten minutes at a time. Id. at 95, 235. Plaintiff testified that she
11 takes medication for pain and migraines, but the medication makes her extremely tired.
12 Id. at 88, 295. Plaintiff testified that she cannot work because she would miss work 15
13 percent of the time because of her foot condition. Id. at 97. Plaintiff testified that she
14 suffers from anxiety and depression, which make it difficult for her to be in crowds and
15 maintain attendance at school. Id. at 80, 86, 235.

17 The Ninth Circuit has “established a two-step analysis for determining the extent
18 to which a claimant’s symptom testimony must be credited.” Trevizo v. Berryhill, 871
19 F.3d 664, 678 (9th Cir. 2017). The ALJ must first determine whether the claimant has
20 presented objective medical evidence of an impairment that “‘could reasonably be
21 expected to produce the pain or other symptoms alleged.’” Id. (quoting Garrison v.
22 Colvin, 759 F.3d 995, 1014-15 (9th Cir. 2014)). At this stage, the claimant need only
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1 show that the impairment could reasonably have caused some degree of the symptom;
2 she does not have to show that the impairment caused the severity of the symptom
3 alleged. Id. ALJ Ross found that Plaintiff met this first step. AR at 22.

4 If the claimant satisfies the first step, and there is no evidence of malingering, the
5 ALJ may only reject the claimant's testimony "by offering specific, clear and convincing
6 reasons for doing so. This is not an easy requirement to meet." Trevizo, 871 F.3d at 678
7 (quoting Garrison, 759 F.3d at 1014-15). In evaluating the ALJ's determination at this
8 step, the Court may not substitute its judgment for that of the ALJ. Fair v. Bowen, 885
9 F.2d 597, 604 (9th Cir. 1989). As long as the ALJ's decision is supported by substantial
10 evidence, it should stand, even if some of the ALJ's reasons for discrediting a claimant's
11 testimony fail. See Molina v. Astrue, 674 F.3d 1104, 1115 (9th Cir. 2012).

13 ALJ Ross discounted Plaintiff's symptom testimony because he determined that it
14 was inconsistent with the medical evidence and Plaintiff's general activity level. AR at
15 22-23. The Court will discuss ALJ Ross's analysis with respect to each of Plaintiff's
16 alleged symptom sources: Foot pain, migraines, and mental health. See id. at 80, 88, 94-
17 95, 97, 235, 295.

18 1. The ALJ Erred in Rejecting Plaintiff's Testimony Regarding Her Foot Pain
19 Symptoms

20 ALJ Ross rejected Plaintiff's testimony regarding foot pain as inconsistent with
21 the medical evidence and Plaintiff's daily activities. Id. at 22-23. Neither reason
22 withstands scrutiny.

23 ALJ Ross erred in rejecting Plaintiff's complaints of foot pain as inconsistent with

1 the medical evidence. An ALJ may reject a claimant's symptom testimony when it is
2 contradicted by the medical evidence. See Carmickle v. Comm'r, Soc. Sec. Admin., 533
3 F.3d 1155 (citing Johnson v. Shalala, 60 F.3d 1428, 1434 (9th Cir.1995)). But the ALJ
4 must explain how the medical evidence contradicts the Plaintiff's testimony. See Dodrill
5 v. Shalala, 12 F.3d 915, 918 (9th Cir. 1993). Furthermore, the ALJ "cannot simply pick
6 out a few isolated instances" of medical health that support his conclusion, but must
7 consider those instances in the broader context "with an understanding of the patient's
8 overall well-being and the nature of [his] symptoms." Attmore v. Colvin, 827 F.3d 872,
9 877 (9th Cir. 2016).

11 Many of the physical exams to which ALJ Ross pointed were from before the
12 alleged onset date, and thus of less relevance. AR at 645, 661, 668-69, 1005, 1008; cf.
13 Carmickle, 533 F.3d at 1165 ("Medical opinions that predate the alleged onset of
14 disability are of limited relevance."). The evidence to which ALJ Ross cited that was
15 within the alleged disability period, such as notes that Plaintiff reported near total relief
16 from a spinal cord stimulator, related to Plaintiff's back pain, not her foot pain. See AR
17 at 716, 945.

18 ALJ Ross also erred in rejecting Plaintiff's foot pain allegations based on
19 Plaintiff's daily activities. An ALJ may reject a plaintiff's symptom testimony based on
20 her daily activities if they contradict her testimony or "meet the threshold for transferable
21 work skills." Orn v. Astrue, 495 F.3d 625, 639 (9th Cir. 2007) (citing Fair, 885 F.2d at
22 603). However, "the mere fact that a plaintiff has carried on certain daily activities, such
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1 as grocery shopping, driving a car, or limited walking for exercise, does not in any way
2 detract from her credibility as to her overall disability.” Vertigan v. Halter, 260 F.3d
3 1044, 1050 (9th Cir. 2001). ALJ Ross noted that Plaintiff engaged in physical exercise,
4 such as riding her bicycle and running on a treadmill, but those reports were from before
5 the alleged disability period. See id. at 23, 468, 506, 945. The other activities to which
6 ALJ Ross pointed, such as doing homework, going to movie theaters, playing video
7 games, and knitting, do not contradict Plaintiff’s testimony that she could not stand or
8 walk for long periods of time due to her foot pain. See id. at 23, 76. ALJ Ross thus erred
9 in rejecting Plaintiff’s testimony regarding her foot pain symptoms.
10

11 2. The ALJ Did Not Err in Rejecting Plaintiff’s Testimony Regarding Her
12 Migraine Symptoms

13 ALJ Ross rejected Plaintiff’s symptom testimony regarding her migraines for two
14 reasons, both of which were reasonable interpretations of the evidence. First, ALJ Ross
15 noted that Plaintiff had been suffering migraines since 1997, but had been able to work
16 since that time. See AR at 22. An ALJ may discount a claimant’s symptom testimony
17 when the evidence supports a finding that the claimant was able to continue working
18 despite the symptoms and the condition did not substantially worsen after the alleged
19 onset date. See Sadeeq v. Colvin, 607 F. App’x 629, 631 (9th Cir. 2015); Alexander v.
20 Comm’r of Soc. Sec., 373 F. App’x 741, 744 (9th Cir. 2010). The record supports ALJ
21 Ross’s determination that Plaintiff was able to continue working while suffering from
22 migraines, and contradicts Plaintiff’s claims that her migraines would prevent her from
23 working. See id. at 221-26, 825.

1 Second, ALJ Ross noted that Plaintiff's migraines appeared controlled on
2 medication. Id. at 22. "Impairments that can be controlled effectively with medication
3 are not disabling for the purpose of determining eligibility for [social security disability]
4 benefits." Warre ex rel. E.T. IV v. Comm'r of Soc. Sec. Admin., 439 F.3d 1001, 1006
5 (9th Cir. 2006). Again, the record supports ALJ Ross's determination. See AR at 1257
6 (noting that Plaintiff had "no headaches on Topomax.").

7
8 Plaintiff argues that ALJ Ross failed to address her testimony regarding the side
9 effects from her migraine medication. Pl. Op. Br. at 9. However, apart from Plaintiff's
10 testimony, there are no medical records documenting side effects from Plaintiff's
11 medication. As such, ALJ Ross did not err in failing to separately address Plaintiff's
12 testimony on the side effects of her migraine medication. See Bayliss, 427 F.3d at 1217
13 (finding that the ALJ did not err in failing to expressly address medication side effects
14 because the record did not support those side effects); Osenbrock v. Apfel, 240 F.3d
15 1157, 1164 (9th Cir. 2001) (finding that the ALJ did not err in failing to include alleged
16 medication side effects in hypothetical questions to the vocational expert because the
17 medical record contained only passing mentions of side effects, and did not demonstrate
18 that they were severe enough to limit the plaintiff's ability to work). In sum, ALJ Ross
19 did not err in rejecting Plaintiff's testimony regarding her migraine symptoms and
20 migraine medication side effects.

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22 3. The ALJ Did Not Err in Rejecting Plaintiff's Testimony Regarding Her
Mental Health Symptoms

23 ALJ Ross discounted Plaintiff's testimony regarding her mental health symptoms

1 because he found it inconsistent with the overall medical evidence and Plaintiff's daily
2 activities. AR at 23. ALJ Ross partially accepted Plaintiff's testimony, as he limited
3 Plaintiff to "occasionally [sic], superficial interaction with the public, coworkers, and
4 supervisors." Id. at 21. ALJ Ross reasonably interpreted the evidence in reaching this
5 conclusion.

6 With respect to the medical evidence, ALJ Ross cited to a number of mental status
7 exams, which he found unremarkable. AR at 23, 691-92, 745, 837, 861, 892, 910-11,
8 1180, 1189, 1193, 1245-46, 1250. This was a reasonable interpretation of the evidence,
9 and contradicted Plaintiff's testimony about the severity of her symptoms. See
10 Carmickle, 533 F.3d at 1161. Similarly, ALJ Ross noted that a few months prior to the
11 disability period, Cornelia Jones, Ph.D., noted that Plaintiff's condition "d[id] not prevent
12 her from deploying to an austere environment and d[id] not interfere with duty
13 performance." Id. at 23, 517. Although this report predated the alleged onset date,
14 Plaintiff has not pointed to evidence in the record that her condition worsened after Dr.
15 Jones's finding, nor has the Court noted any such evidence. ALJ Ross also noted that
16 Plaintiff's anxiety was reportedly in remission as of October 2017. See id. at 23, 1247.

17 With respect to Plaintiff's daily activities, ALJ Ross reasonably found that they
18 contradicted Plaintiff's testimony regarding her mental health symptoms. Plaintiff
19 alleged that her anxiety and depression made it difficult to go to events, socialize, and
20 maintain attendance at school. Id. at 79-80, 86. ALJ Ross reasonably determined,
21 however, that Plaintiff's activities, such as going to movie theaters with her children, and
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1 attending school while maintaining a passing grade point average, contradicted the
2 severity of symptoms Plaintiff alleged. See id. at 23, 76, 84-86, 796. Accordingly, ALJ
3 Ross did not err in discounting Plaintiff's testimony regarding the severity of her mental
4 health symptoms.

5 **B. The ALJ Did Not Err in Evaluating the Medical Evidence**

6 Plaintiff argues that ALJ Ross erred in evaluating the medical evidence. Pl. Op.
7 Br. at 3-6, 12-13. In particular, Plaintiff argues that ALJ Ross (1) misinterpreted the
8 opinions of non-examining doctor Kent Reade, Ph.D., (2) violated due process by failing
9 to allow Plaintiff to question non-examining doctor Gordon Hale, M.D., regarding his
10 opinions, (3) failed to address a disability determination from the Department of Veteran
11 Affairs ("VA"), and (4) failed to address the opinions of treating doctor Daniel McGuire,
12 M.D. Id. The Court disagrees.

13
14 1. The ALJ Did Not Err in Evaluating Dr. Reade's Opinions

15 Dr. Reade reviewed medical records and opined as to Plaintiff's mental capacities
16 as part of the initial administrative review of Plaintiff's claims. AR at 116-17. Among
17 other things, Dr. Reade opined that Plaintiff "would have occasional difficulties in
18 maintaining [concentration, persistence, and pace] when symptomatic, however,
19 [Plaintiff] remains capable of simple and complex tasks [with] reasonable [concentration,
20 persistence, and pace], attending work [within] customary tolerances, working [within] a
21 routine, and completing a normal workday/week." Id. at 120-21. ALJ Ross found Dr.
22 Reade's opinions persuasive. Id. at 24.
23

1 Plaintiff argues that ALJ Ross misinterpreted Dr. Reade's opinions. Pl. Op. Br. at
2 3. Plaintiff argues that Dr. Reade meant Plaintiff would be off-task up to 33 percent of
3 the workday when symptomatic because he used the word "occasional," which Social
4 Security Ruling ("SSR") 96-9p defines as "occurring from very little up to one-third of
5 the time." See SSR 96-9p, 1996 WL 374185, at *3 (July 2, 1996).

6 Plaintiff's argument is not well taken. First, Dr. Reade's full opinion clearly
7 contradicts Plaintiff's strained interpretation of the amount of time Plaintiff would be off-
8 task. Dr. Reade stated that despite difficulties with concentration, persistence, and pace,
9 Plaintiff could attend work within customary tolerances, work within a routine, and
10 complete a normal workday or week. AR at 121. It is nonsensical to interpret this
11 opinion as Dr. Reade stating that Plaintiff would be off-task so much that she could not
12 meet normal work tolerances because he used the word "occasional," when he explicitly
13 stated that Plaintiff could meet such tolerances.

14
15 Second, the definition on which Plaintiff relies fails to support her argument.
16 "Occasional" is defined as a range from very little up to one-third of the time. SSR 96-
17 9p, 1996 WL 374185, at *3. The ALJ, as the factfinder, could reasonably interpret Dr.
18 Reade to have meant that Plaintiff would only have difficulty maintaining concentration,
19 persistence, and pace for a very little amount of time. This is especially true because,
20 again, Dr. Reade explicitly stated that Plaintiff could meet customary workplace
21 tolerances. See AR at 121. Plaintiff has therefore failed to show that ALJ Ross erred in
22 interpreting Dr. Reade's opinions.
23

1 2. The ALJ Did Not Err by Failing to Subpoena Dr. Hale for Questioning

2 Dr. Hale reviewed medical records and opined as to Plaintiff's physical capacities
3 as part of the initial administrative review of Plaintiff's claims. Id. at 118-20. Among
4 other things, Dr. Hale opined that Plaintiff could stand/walk for six hours in a normal
5 eight-hour workday. Id. at 118.

6 ALJ Ross found Dr. Hale's opinions persuasive. Id. at 24. ALJ Ross reasoned
7 that Dr. Hale's opinions were "generally consistent with [Plaintiff's] overall
8 unremarkable physical exam findings throughout the record." Id.

9 Plaintiff argues that Dr. Hale's opinion is "unsupported and inconsistent with the
10 nature of" CRPS, and that ALJ Ross violated due process because he did not give
11 Plaintiff an opportunity to question Dr. Hale on this issue. Pl. Op. Br. at 3-6.

12 Plaintiff has failed to show that ALJ Ross harmfully erred in declining to make Dr.
13 Hale available for questioning. See Ludwig v. Astrue, 681 F.3d 1047, 1054 (9th Cir.
14 2012) (citing Shinseki v. Sanders, 556 U.S. 396, 407-09 (2009)) (holding that the party
15 challenging an administrative decision bears the burden of proving harmful error). "A
16 claimant in a disability hearing is not entitled to unlimited cross-examination, but is
17 entitled to such cross-examination as may be required for a full and true disclosure of the
18 facts." Copeland v. Bowen, 861 F.2d 536, 539 (9th Cir. 1988) (citing Solis v. Schweiker,
19 719 F.2d 301, 302 (9th Cir. 1983)). It was not necessary to cross-examine Dr. Hale here
20 because ALJ Ross explained how Dr. Hale supported his opinion, and how it was
21 consistent with the overall record. See AR at 24.
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1 Plaintiff argues that SSR 03-2p, 2003 WL 22399117 (Oct. 20, 2003), conflicts
2 with Dr. Hale’s opinion. Pl. Op. Br. at 4. That SSR discusses how the Commissioner
3 evaluates cases involving CRPS. SSR 03-2p, 2003 WL 22399117, at *1. As Plaintiff
4 notes, SSR 03-2p states that CRPS and the medications used to treat it “may affect an
5 individual’s ability to maintain attention and concentration, as well as adversely affect his
6 or her cognition, mood, and behavior.” Id. at *5. Accordingly, CRPS “can interfere with
7 an individual’s ability to sustain work activity over time, or preclude sustained work
8 activity altogether.” Id. Plaintiff fails to note that both of these statements are
9 permissive; they do not require a finding that someone with CRPS cannot work.
10 Consequently, SSR 03-2p does not in and of itself conflict with Dr. Hale’s opinion such
11 that cross-examination was necessary.
12

13 Finally, the Court notes that Plaintiff failed to comply with the requirements for a
14 subpoena, so her citation to the Commissioner’s Hearing, Appeals, and Litigation Law
15 Manual (“HALLEX”) available at https://www.ssa.gov/OP_Home/hallex/hallex.html,² is
16 unavailing. Under HALLEX §I-2-5-78, “[a] claimant has a right to request that an ALJ
17 issue a subpoena, but he or she must make the request in writing at least ten business days
18 before the hearing date.” The subpoena request must give the name of the witness to be
19 subpoenaed, describe the witness’s location, “[s]tate the important fact(s) that the
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21 ² HALLEX “is strictly an internal Agency manual, with no binding legal effect on the
22 Administration or [the] court.” Clark v. Astrue, 529 F.3d 1211, 1216 (9th Cir. 2008) (citing
23 Moore v. Apfel, 216 F.3d 864, 868-69 (9th Cir. 2000)). Nonetheless, as an agency manual, it is
“‘entitled to respect’ under Skidmore v. Swift & Co., [323 U.S. 134] (1944), to the extent that it
has the ‘power to persuade.’” Clark, 529 F.3d at 1216 (citing Christensen v. Harris Cnty., 529
U.S. 576, 587 (2000)).

1 witness(es) . . . is expected to prove; and [i]ndicate why the fact(s) could not be proven
2 without issuing a subpoena.” Id.

3 Plaintiff points to a one-page “pre-hearing memorandum,” dated August 29, 2018,
4 to support her claim that she asked ALJ Ross prior to the hearing for an opportunity to
5 question Dr. Hale. See Pl. Op. Br. at 4; AR at 332. The hearing in this matter took place
6 on September 6, 2018, see AR at 64-110, so Plaintiff’s request was untimely. Moreover,
7 as explained above, Plaintiff has not shown that cross-examination was necessary for the
8 full presentation of Plaintiff’s case. ALJ Ross therefore did not err by failing to make Dr.
9 Hale available for cross-examination.
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11 3. The ALJ Did Not Err in Failing to Address the VA Disability
12 Determination

13 Plaintiff argues that the ALJ failed to address the disability determination from the
14 VA, which stated that Plaintiff’s service-connected disabilities added up to a 100 percent
15 rating. Pl. Op. Br. at 12-13. The Commissioner argues that the ALJ was no longer
16 required to address the VA rating because the Commissioner’s new regulations eliminate
17 the need to provide any analysis of another governmental agency’s disability
18 determination. Def. Resp. Br. (Dkt. #10) at 15-16. The Court agrees with the
19 Commissioner.

20 In January 2018, the VA issued a decision finding that Plaintiff had a number of
21 impairments that cumulatively resulted in a 100 percent disability rating. See AR at
22 1112-41. The primary disabling conditions were plantar fasciitis and flat foot, PTSD,
23 migraines, and partial hysterectomy, with lesser conditions of left and right ankle strains,

1 left and right lower extremity CRPS, thoracolumbar sprain and status post placement of a
2 spinal cord stimulator, and tinnitus. Id. at 1122.

3 ALJ Ross did not mention the VA determination or provide reasons for rejecting
4 it. See AR at 24-25. ALJ Ross discussed the medical evidence, which primarily came
5 from providers associated with the VA. See id. at 20, 22-25, 337-1111, 1142-1292,
6 1295-1315.

7 Under the Commissioner's old regulations, an ALJ was required to consider the
8 VA's disability rating, and should "ordinarily give great weight to a VA determination
9 of disability." Luther v. Berryhill, 891 F.3d 872, 876 (9th Cir. 2018) (quoting McLeod
10 v. Astrue, 640 F.3d 881, 886 (9th Cir. 2011)). The ALJ could, however, "give less
11 weight to a VA rating 'if [the ALJ gave] persuasive, specific, valid reasons for doing so
12 that [were] supported by the record.'" Luther, 891 at 876-77 (quoting Valentine, 574
13 F.3d at 695).

14 The Commissioner's new regulations purportedly eliminate the requirement that
15 the ALJ discuss a VA determination. Under 20 C.F.R. §404.1504, for claims filed on or
16 after March 27, 2017, the Commissioner "will not provide any analysis in [his]
17 determination or decision about a decision made by any other governmental agency or
18 nongovernmental entity about whether [the claimant is] disabled, blind, employable, or
19 entitled to any benefits." The ALJ must nonetheless still "consider all of the supporting
20 evidence underlying the other governmental agency or nongovernmental entity's
21 decision" that is received as part of the social security disability claim. Id.
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1 Plaintiff has not shown that ALJ Ross harmfully erred in his analysis of the VA's
2 records. See Ludwig, 681 F.3d at 1054 (citing Shinseki, 556 U.S. at 407-09). Although
3 ALJ Ross did not address the specific VA disability determination, ALJ Ross considered
4 the evidence underlying that decision. Nearly all of the medical records in this case came
5 from hospitals associated with the VA. See AR at 337-1111, 1142-1292, 1295-1315.
6 ALJ Ross discussed those records throughout his decision. See id. at 20, 22-25. ALJ
7 Ross thus satisfied his obligations under the Commissioner's new regulations. See Jones
8 v. Berryhill, --- F. Supp. 3d ---, No. 2:18-cv-0080, 2019 WL 3521760, at *15 (M.D.
9 Tenn. Aug. 2, 2019).

11 4. The ALJ Did Not Err in Failing to Address Dr. McGuire's Statements

12 Plaintiff last argues with respect to the medical evidence that ALJ Ross erred by
13 failing to evaluate statements from Dr. McGuire that Plaintiff was disabled. Pl. Op. Br. at
14 13. The Commissioner responds that Dr. McGuire's statements did not constitute a
15 medical opinion, so the ALJ had no obligation to discuss them. Def. Resp. Br. at 16. The
16 Court agrees with the Commissioner.

17 On May 10, 2018, Dr. McGuire completed a disabled parking application from the
18 Washington Department of Licensing on Plaintiff's behalf. AR at 1293-94. Dr. McGuire
19 indicated that Plaintiff met one of seven qualifying conditions for a disabled parking
20 permit, but did not state which condition she met. Id. at 1293. Dr. McGuire wrote that
21 Plaintiff had a "permanent disabling injury," but did not state the nature of the disability
22 or how it disabled Plaintiff. Id. at 1294.

1 ALJ Ross did not discuss Dr. McGuire's statements. See id. at 24-25. Those
2 statements did not constitute a medical opinion, however, so ALJ Ross did not err in
3 failing to discuss them. A medical opinion is "a statement from a medical source about
4 what [the claimant] can still do despite [his or her] impairments" and whether the
5 claimant has other specific functional limitations. 20 C.F.R. § 404.1513(a)(2). Dr.
6 McGuire checked a box on a form and wrote that Plaintiff has a permanent disabling
7 injury. AR at 1293-94. He did not state with any specificity what Plaintiff can and
8 cannot do, or even identify Plaintiff's alleged impairments. See id. Dr. McGuire did not
9 provide any probative opinion for ALJ Ross to evaluate, so ALJ Ross did not err in
10 failing to discuss Dr. McGuire's statements in the disabled parking application. See
11 Papin v. Barnhart, 221, F. App'x 540, 541 (9th Cir. 2007) (holding that the ALJ was not
12 required to consider a doctor's statements in the claimant's application for a disabled
13 parking placard because they were conclusory and conflicted with the doctor's later
14 evaluation); see also Wilfred-Pickett v. Berryhill, 719 F. App'x 576, 579 (9th Cir. 2017)
15 (holding that the ALJ did not err in affording little to no weight to a disabled parking
16 application completed by the claimant's treating doctor because the doctor merely
17 "selected a checkbox and did not provide additional explanation").

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19 **C. The ALJ Did Not Harmfully Err in Evaluating Plaintiff's Husband's**
20 **Statement**

21 Plaintiff argues that ALJ Ross erred in rejecting Plaintiff's husband's statement.
22 Pl. Op. Br. at 6-7. The Court disagrees.

23 Plaintiff's husband stated that Plaintiff cannot stand or walk for any given length

1 of time. AR at 273. Plaintiff's husband stated that Plaintiff has migraines that force her
2 to continually take medication and sleep. Id. Plaintiff's husband stated that Plaintiff has
3 little trust in others due to PTSD, which makes it hard for her to get along with others.
4 Id. at 280.

5 ALJ Ross considered Plaintiff's husband's statements, but gave more weight to the
6 opinions of Dr. Hale and Dr. Reade. Id. at 25. ALJ Ross implicitly rejected Plaintiff's
7 husband's statements that Plaintiff cannot stand or walk for any given length of time
8 because no restrictions in the RFC match that statement. See id. at 21. ALJ Ross did not
9 include any limitations for sleep due to migraines, but limited Plaintiff's exposure to
10 possible triggers such as noise levels, extreme cold, and vibrations. Id. ALJ Ross
11 reasonably incorporated Plaintiff's husband's statement that Plaintiff has trouble getting
12 along with others by limiting Plaintiff to occasional, superficial interaction with the
13 public, coworkers, and supervisors. See id.

15 In determining disability, “an ALJ must consider lay witness testimony
16 concerning a claimant's ability to work.” Bruce v. Astrue, 557 F.3d 1113, 1115 (9th Cir.
17 2009) (quoting Stout v. Comm'r, Soc. Sec. Admin., 454 F.3d 1050, 1053 (9th Cir.
18 2006)). The ALJ must “give reasons germane to each witness” before he can reject such
19 lay witness evidence. Molina, 674 F.3d at 1111 (internal citations and quotation marks
20 omitted). “Further, the reasons ‘germane to each witness’ must be specific.” Bruce, 557
21 F.3d at 1115 (quoting Stout, 454 F.3d at 1054).

23 ALJ Ross did not err in rejecting Plaintiff's husband's statements regarding

1 Plaintiff's ability to stand or walk, and the effects of her migraines and medication.
2 Accepting the opinion of a doctor over a lay witness's estimation of a claimant's
3 functional abilities (which necessarily must be inconsistent with the doctor's opinion if
4 the ALJ has to choose) is a reasonable decision, and one that is sufficiently germane to
5 survive on review. See Bayliss, 427 F.3d at 1218.

6 Similarly, Plaintiff has failed to show that ALJ Ross harmfully erred in rejecting
7 Plaintiff's husband's statements regarding Plaintiff's migraine and medication symptoms.
8 See Ludwig, 681 F.3d at 1054 (citing Shinseki, 556 U.S. at 407-09). Plaintiff's
9 husband's statements on those symptoms did not describe any limitations beyond those
10 Plaintiff herself described, and ALJ Ross reasonably rejected that portion of Plaintiff's
11 testimony. See supra Part VI.A.2. The reasoning behind rejecting Plaintiff's testimony
12 on her migraines and medication symptoms applies equally to Plaintiff's husband's
13 statements on those symptoms, so the ALJ did not harmfully err in rejecting that portion
14 of Plaintiff's husband's statements. See Molina, 674 F.3d at 1122.

16 **D. Scope of Remand**

17 Plaintiff asks the Court to remand this matter for an award of benefits. Pl. Op. Br.
18 at 13-14. Remand for an award of benefits "is a rare and prophylactic exception to the
19 well-established ordinary remand rule." Leon v. Berryhill, 880 F.3d 1041, 1044 (9th Cir.
20 2017). The Ninth Circuit has established a three-step framework for deciding whether a
21 case may be remanded for an award of benefits, known as the "credit-as-true" rule. Id. at
22 1044-45. First, the court must determine whether the ALJ has failed to provide legally
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1 sufficient reasons for rejecting evidence. Id. at 1045 (citing Garrison, 759 F.3d at 1020).
2 Second, the court must determine “whether the record has been fully developed, whether
3 there are outstanding issues that must be resolved before a determination of disability can
4 be made, and whether further administrative proceedings would be useful.” Treichler v.
5 Comm’r of Soc. Sec. Admin., 775 F.3d 1090, 1101 (9th Cir. 2014) (internal citations and
6 quotation marks omitted). If the first two steps are satisfied, the court must determine
7 whether, “if the improperly discredited evidence were credited as true, the ALJ would be
8 required to find the claimant disabled on remand.” Garrison, 759 F.3d at 1020. “Even if
9 [the court] reach[es] the third step and credits [the improperly rejected evidence] as true,
10 it is within the court’s discretion either to make a direct award of benefits or to remand
11 for further proceedings.” Leon, 880 F.3d at 1045 (citing Treichler, 773 F.3d at 1101).

12
13 The appropriate remedy here is to remand for further proceedings. The only issue
14 on which Plaintiff has prevailed is ALJ Ross’s rejection of Plaintiff’s testimony on her
15 foot pain. That testimony conflicts with Dr. Hale’s opinion. The Court cannot resolve
16 this conflict. See Andrews, 53 F.3d at 1039.

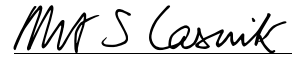
17 On remand, the ALJ must reevaluate Plaintiff’s testimony regarding symptoms
18 from her foot pain. The ALJ shall conduct further administrative proceedings as
19 necessary to reevaluate the disability determination in light of this opinion.

20 VII. CONCLUSION

21 For the foregoing reasons, the Commissioner’s final decision is **REVERSED** and
22 this case is **REMANDED** for further administrative proceedings under sentence four of
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1 42 U.S.C. §405(g).

2 Dated this 2nd day of October, 2019.

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4 ROBERT S. LASNIK
5 United States District Judge
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